Preventing the Dumping in Vulnerable Developing-Country Markets of Inefficient Cooling Equipment Using Obsolete Refrigerants

A Resource Guide

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Institute for Governance & Sustainable Development



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About the Institute for Governance & Sustainable Development

IGSD's mission is to promote fast climate mitigation to slow near-term warming and self-propagating climate feedbacks, avoid or at least delay catastrophic climate and societal tipping points, and limit global temperatures to 1.5 °C—or at least keep this temperature guardrail in sight and limit overshoot.

IGSD's research confirms that decarbonization alone is insufficient to slow near-term warming to keep us below 1.5 °C or even the more dangerous 2 °C guardrail and that the fastest and most effective strategy is to combine the marathon to zero out carbon dioxide (CO₂) emissions from decarbonizing the energy system with the sprint to rapidly cut non-CO₂ super climate pollutants and protect carbon sinks. The super climate pollutants include four short-lived climate pollutants (SLCPs)—methane (CH₄), hydrofluorocarbons (HFCs), black carbon soot, and tropospheric ozone (O₃)—as well as the longer-lived nitrous oxide (N₂O).

Combining the fast mitigation sprint with the decarbonization marathon also helps address the ethical issues of intra-generational equity by giving societies urgently needed time to adapt to unavoidable changes and build resilience. The latest science suggests that the window for exceeding the 1.5 °C guardrail could close as soon as the early 2030s, making this the decisive decade for fast action to slow warming.

The fastest way to reduce near-term warming in the next decade or two is to cut SLCPs. Because they only last in the atmosphere from days to 15 years, reducing them will prevent 90 percent of their predicted warming within a decade. Strategies targeting SLCP reductions can avoid four times more warming at 2050 than targeting CO₂ alone. Reducing HFCs can avoid nearly 0.1 °C of warming by 2050 and up to 0.5 °C by the end of the century. The initial HFC phasedown schedule in the Kigali Amendment to the Montreal Protocol will capture about 90 percent of this. Parallel efforts to enhance the energy efficiency of air conditioners and other cooling appliances during the HFC phasedown can double the climate benefits at 2050. Cutting methane emissions can avoid nearly 0.3 °C by the 2040s, with the potential for significant avoided warming from emerging technologies to remove atmospheric methane faster than the natural cycle.

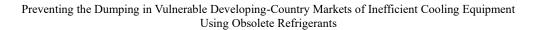
Combining the fast mitigation sprint with the decarbonization marathon would reduce the rate of global warming by half from 2030 to 2050, slow the rate of warming a decade or two earlier than decarbonization alone, and make it possible for the world to keep the 1.5 °C guardrail in sight and reduce overshoot. It would also reduce the rate of Arctic warming by two-thirds. This would help slow self-amplifying climate feedbacks in the Arctic, and thus avoid or at least delay the cluster of projected tipping points beyond 1.5 °C. Reducing climate risks and staying within the limits to adaptation are critical to building resilience.

IGSD approaches to fast mitigation includes science, technology, law and policy, and climate finance. IGSD works at the global, regional, national, and subnational levels.



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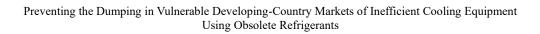




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Note: Some organizations and concepts are more commonly known by their acronyms or abbreviations. These are defined on first usage, and a list is provided at the end of this *Resource Guide*.

1. About

IGSD has designed this *Resource Guide* for government representatives, public interest and industry non-governmental organization (NGO) leaders, and citizens of United Nations (UN) Parties who wish to understand and help address the environmentally harmful dumping of new but inferior and inefficient cooling equipment using obsolete refrigerants in their countries ("environmental dumping"). Environmental dumping is an environmental justice issue that limits access—particularly in developing-country Parties—to affordable and energy-efficient alternatives that use modern, ozone-safe, and climate-safe refrigerants. The *Resource Guide* provides information and insights including:

- the definition and environmental impacts of environmental dumping
- key international agreements, decisions, and meeting reports
- relevant international organization and country-led initiatives
- and environmental-dumping-related technical reports, workshop proceedings, research papers, and media coverage
- related anti-dumping activities in other sectors that are directly influenced by the efforts to stop dumping of environmentally harmful cooling equipment.

This *Resource Guide* can inform and empower stakeholders to clearly define, explain, and craft solutions to the issue of environmental dumping, including through joint importer and exporter country ("shared responsibility") efforts, and tools such as minimum energy performance standards (MEPS) or equivalents, bulk-purchase, and buyers-club initiatives. The *Resource Guide* also provides insights on critical opportunities and markets for the technologies of the future, including deployment and manufacturing of high-efficiency, low or zero global-warming-potential (GWP) cooling systems that will enable climate-vulnerable communities to adapt to rising temperatures while simultaneously reducing strains on energy grids.

The importance of stopping environmental dumping of new but inefficient cooling equipment in developing countries cannot be overstated. The International Institute of Refrigeration, an intergovernmental organization with 59 member countries that is dedicated to disseminating scientific and technical knowledge in every sector of refrigeration, has deemed this *Resource Guide* a "must read" for learning about the evidence and guidelines against dumping.

2. Introduction

This introduction defines environmental dumping of cooling equipment and elaborates on the national and global harm caused by dumping.



A. Environmental dumping is the practice of marketing inefficient cooling equipment with obsolete refrigerants in vulnerable developing countries.

In the context of the Montreal Protocol, Article 5 Parties are developing countries that do not consume more than a specified annual level of controlled (i.e., regulated) substances that can damage the ozone layer or contribute to global warming. These Parties have expressed strong concern about shipments into their markets of new, inefficient cooling equipment that uses or was designed to use obsolete ozone-depleting and high GWP refrigerants. The terms "environmental dumping" or simply "dumping" are used to refer to this practice. This concern arose as early as the 9th Open-Ended Working Group (OEWG) of the Parties to the Montreal Protocol, held in Geneva, Switzerland (30 August–1 September 1993).

The description of environmental dumping was formalized in a legal framework elaborated in *Defining the Legal and Policy Framework to Stop the Dumping of Environmentally Harmful Products* (Duke University Environmental Law & Policy Forum, 2018). This paper defines environmental dumping as:

[T]he practice of exporting products to another country or territory that: 1) contain hazardous substances, 2) have environmental performance lower than is in the interest of consumers or that is contrary to the interests of the local and global commons, or 3) can undermine the ability of the importing country to fulfill international environmental treaty commitments.

(Citations omitted.)

Drawing from the definitions in this paper, the environmental dumping of new refrigeration and air conditioning equipment includes:

- Marketing in developing countries of technology that cannot legally be sold in the exporting country because it fails to meet environmental, safety, energy efficiency, or other product standards; and
- 2) Marketing in developing countries of technology that is unusable in the exporting country because national regulations or Montreal Protocol phaseout and phasedown control schedules have made these refrigerants unavailable.

(Citations omitted.)

Excerpts from the early Montreal Protocol discussions of dumping are included in Section 3 of this Resource Guide.

Additional materials referenced in this Resource Guide provide further insights on activities that comprise environmental dumping and the definition of obsolete refrigerants. These materials include the CLASP and IGSD analyses of dumping in <u>Africa</u> (2020) and <u>Southeast Asia</u> (2023) and the <u>Report of the Climate & Clean Air Coalition (CCAC) Stop Dumping Workshop: More Rapid Transition to Sustainable Cooling Technology</u>. Additional analyses and reports are planned and will be included in updates to this Resource Guide.



B. Dumping harms developing nations and, thus, all nations.

Dumping pushes developing nations into actions that make it difficult to meet their responsibilities under the Montreal Protocol and other treaties. The low cost of the initial purchase of cheap but obsolete air conditioning is quickly outweighed by higher electric bills, more expensive and hard-to-find parts for service and maintenance, and expensive or unavailable refrigerants that deplete the ozone layer and contribute to global warming when released. The equipment itself contributes to the problem of global warming by using more electricity than air conditioners based on superior technology. In developing countries, electricity—particularly during peak cooling-power demand times—comes from fossil fuel power plants that emit carbon dioxide (CO₂) and other pollutants into the atmosphere, endangering public health. Lawrence Berkeley National Laboratory (LBNL) calculated that if room air conditioners were made 30% more efficient, the energy savings would avoid the need for up to nearly 1,600 medium-size peak power plants globally in the near-term. This would free-up energy for development along with public funds that could be used for local infrastructure improvement, public services, and education.

Simply stated, developing nations need access to affordable, next-generation technology that will provide safe, efficient, and reliable cooling for many years to come.

¹ Shah, Nihar, Max Wei, Virginie Letschert, and Amol Phadke. 2015. "Benefits of Leapfrogging to Superefficiency and Low Global Warming Potential Refrigerants in Room Air Conditioning." *Lawrence Berkeley National Laboratory*. https://www.osti.gov/servlets/purl/1235571.



3. Montreal Protocol dumping and related decisions and guidelines

The following decisions of the Montreal Protocol Meeting of the Parties (MOP) and the Multilateral Fund for the Implementation of the Montreal Protocol (Multilateral Fund) are relevant to efforts to address environmental dumping. Meeting start and end dates are in parentheses.

A. Montreal Protocol MOP Decision XXXVI/11: Avoiding imports of energy-inefficient products and equipment containing or relying on controlled substances (28 October–1 November 2024)

Short description: The decision notes with appreciation the role of and Parties' experience with MOP Decision XXVII/8 (avoiding the unwanted import of products and equipment containing or relying on hydrochlorofluorocarbons) (2015). In the operative section, the decision:

- Invites Parties that have restricted the import of products and equipment containing or relying on controlled substances, including with respect to energy efficiency, to voluntarily submit this information to the Ozone Secretariat for publication on the Ozone Secretariat's website
- Invites Parties that have national policies, standards, including minimum energy performance standards, or legislation for products and equipment containing or relying on controlled substances that do not lead to import prohibitions to voluntarily inform the Secretariat of such national policies, standards, including minimum energy performance standards, or legislation, specifying the categories of equipment concerned
- Requests the Ozone Secretariat to publish on its website separate lists of information received per the above two paragraphs and update that information when new information is submitted to the Ozone Secretariat

Link: https://ozone.unep.org/system/files/documents/cop-13_mop-36_decisions.pdf (p. 28)

B. 94th Meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol, Further Elaboration on the Operational Framework to Support Maintaining and/or Enhancing Energy Efficiency Described in Document UNEP/OzL.Pro/Excom/93/98 (Decision 9393(d)) (2024)

Short description: On 2 May 2024, the Executive Committee of the Multilateral Fund (MLF) for the Implementation of the Montreal Protocol elaborated upon an "Operational Framework" that, among other things:

- Establishes a mechanism for MLF incentives needed to achieve higher levels of coolingequipment* efficiency
- Indicates that no such incentives would be provided for cooling equipment with a target performance below the minimum energy performance level



 Provides that products exported without MEPS can only be included in MLF project submissions if said products' performance is equal to or greater than the national MEPS

*"Cooling equipment" in the Operational Framework document includes domestic refrigerators, refrigerated display cabinets, chest freezers, residential air conditioners, and commercial air conditioners. (See UNEP/OxL/Pro/ExCom/94/61, Section I.1(7) (p. 4).)

Link: https://multilateralfund.org/api/drupal-documents/download/file/2f5a1657-2ed6-4031-8c77-e57628c8d08e?filename=9461_0.pdf

C. Montreal Protocol MOP Decision XXXV/13: The import and export of prohibited cooling equipment (23–27 October 2023)

Short description: In the Decision's preamble, the Parties recognize that the dumping of inefficient equipment containing obsolete refrigerants is a problem requiring solutions involving both exporting and importing parties. Also, the Parties welcome measures from certain Parties to prohibit, in their domestic regulations, the export of cooling equipment that does not satisfy their national regulations or is inconsistent with their standards. The Decision then requests that the Executive Committee of the Multilateral Fund consider allocating funds within Kigali Amendment hydrofluorocarbon (HFC) implementation plans and hydrochlorofluorocarbon (HCFC) phase-out management plans to assist Article 5 Parties in developing and enforcing policies and measures to prevent future non-compliance caused by the importing of prohibited cooling equipment.

Link: https://ozone.unep.org/system/files/documents/MOP-35-12-Add-1E.pdf

D. Montreal Protocol MOP Decision XXXIV/4: Illegal import of certain refrigeration, air-conditioning and heat pump products and equipment (31 October–4 November 2022)

Excerpt: "1. To invite parties that have restricted the manufacture and/or import of certain refrigeration, air-conditioning and heat pump products and equipment containing or relying on controlled substances, including with respect to energy efficiency, and that do not want to receive such products and equipment from other parties against payment or free of charge, to submit to the Secretariat by 1 May 2023 [specifically listed] information …"

Link: https://ozone.unep.org/system/files/documents/MOP-34-9-Add-1-Rev-1E.pdf

E. Montreal Protocol MOP Decision XXXIV/3: Enabling enhanced access and facilitating the transition to energy-efficient and low- or zero-GWP technologies (31 October–4 November 2022)

Short description: This Decision requests, among other things, that the Ozone Secretariat organize a one-day workshop in 2023 to assess challenges related to ways of improving



availability and accessibility of energy-efficient equipment and equipment using lowor zero-GWP alternatives during the implementation of the Kigali Amendment.

Link: https://ozone.unep.org/system/files/documents/MOP-34-9-Add-1-Rev-1E.pdf

F. Montreal Protocol MOP Decision XXVIII/3: Energy Efficiency (10–15 October 2016)

Short description: Rwanda and Morocco, aiming to combat the environmentally harmful dumping of inefficient and obsolete cooling equipment, introduce the Montreal Protocol's first energy efficiency decision. The Montreal Protocol Parties adopted this decision shortly after reaching agreement on the Kigali Amendment to the Montreal Protocol.

Link: https://ozone.unep.org/system/files/documents/MOP-28-12E.pdf

G. Montreal Protocol MOP Decision XXVII/8: Avoiding the unwanted import of products and equipment containing or relying on hydrochlorofluorocarbons (1–5 November 2015)

Excerpt: "To invite those parties that do not permit the importation of products and equipment containing or relying on hydrochlorofluorocarbons from any source to inform the Secretariat, on a voluntary basis, if they so choose, that they do not consent to the importation of such products and equipment[.]"

"To request the Secretariat to maintain a list of parties that do not want to receive products and equipment containing or relying on hydrochlorofluorocarbons, which shall be distributed to all parties by the Secretariat and updated on an annual basis[.]"

Link: https://ozone.unep.org/treaties/montreal-protocol/meetings/
https://ozone.unep.org/treaties/montreal-protocol/meetings/
https://ozone.unep.org/treaties/montreal-protocol/meetings/
https://ozone.unep.org/treaties/decisions/decision-xxvii8-avoiding-unwanted-import-products-and-equipment-containing-or-relying

H. Montreal Protocol MOP Decision XII/10: Monitoring of international trade and prevention of illegal trade in ozone-depleting substances, mixtures and products containing ozone-depleting substances the Parties (11–14 December 2001)

Excerpt: "[T]o request the Ozone Secretariat, in consultation, as appropriate, with the Technology and Economic Assessment Panel, the United Nations Environment Programme, the discussion group on customs codes for ozone-depleting substances and international trade and customs organizations, to examine the options for studying the following issues and to report on these options at the twenty-first meeting of the Open-ended Working Group for consideration by the Parties in 2001[.]" (A list of items follows.)

Link: https://ozone.unep.org/Meeting Documents/mop/12mop/12mop-9.e.shtml



I. Montreal Protocol MOP Decision X/9: Establishment of a list of countries that do not manufacture for domestic use and do not wish to import products and equipment whose continuing functioning relies on Annex A and Annex B substances (23–24 November 1998)

Short description: In this Decision, the Parties recall the recommendations of Decision IX/9 regarding both Article 5 and non-Article 5 Parties' responsibilities to implement measures to regulate the import and export of components, products, and equipment requiring the use of substances listed in Annex A and Annex B of the Montreal Protocol. The Parties then "[r]equest the Secretariat to maintain a list of Parties that do not want to receive products and equipment from one or more categories listed below.* This list shall be distributed to all Parties by the Secretariat at the Eleventh Meeting of the Parties and updated on an annual basis thereafter[.]"

The last paragraph of the decision lists the categories:

"* Products and equipment containing a controlled substance specified in Annex A or B of the Montreal Protocol: 1) Automobile and truck air conditioning units (whether incorporated in vehicles or not); 2) domestic and/or commercial refrigeration and air conditioning/heat pump equipment (when containing controlled substances in Annex A or Annex B as a refrigerant and/or in insulating material of the product) (e.g. refrigerators, freezers, dehumidifiers, water coolers, ice machines, air conditioning and heat pump units); 3) transport refrigeration units; 4) aerosol products, except medical aerosols; 5) portable fire extinguisher; 6) insulation boards, panels and pipe covers; 7) pre-polymers."

Link: https://ozone.unep.org/treaties/montreal-protocol/meetings/tenth-meeting-parties/decisions/decision-x9-establishment-list-countries-do-not-manufacture-domestic-use-and-do-not-wish-import

J. Montreal Protocol MOP Decision VII/33: Illegal imports and exports of controlled substances (5–7 December 1995)

Excerpt: "To request that the Secretariat examine information available to it, and request further information from the Parties regarding dumping, illegal imports and exports, and uncontrolled production of Annex A and B substances and products containing them that could undermine the effectiveness of the Protocol, and report to the Eighth Meeting of the Parties, taking into account the non-compliance procedure."

Link: https://ozone.unep.org/sites/default/files/2019-04/MOP-7-12E.pdf



K. Montreal Protocol MOP Decision VII/9: Basic domestic (5–7 December 1995)

Excerpt: "3. That in order to prevent oversupply and dumping of ozone-depleting substances, all Parties importing and exporting ozone-depleting substances should monitor and regulate this trade by means of import and export licences;"

Link: https://ozone.unep.org/sites/default/files/2019-04/MOP-7-12E.pdf



4. Montreal Protocol discussions establishing environmental dumping as a global concern (excerpts)

The following Montreal Protocol Open-Ended Working Group (OEWG) and MOP discussions further elaborate on concerns about the dumping of new but inefficient cooling equipment in developing nations.

A. Report of the 30th Meeting of the Parties to the Montreal Protocol (5–9 November 2018)

Excerpt: "The representative of Rwanda, speaking on behalf of the African Group, introduced a proposal for a draft decision, which had been revised after the fruitful discussions at the fortieth meeting of the Open-ended Working Group. She said that it was intended to provide the basis of a renewed discussion at the current meeting, to facilitate consideration of the crucial issue of energy efficiency and how it could be addressed under the institutions of the Montreal Protocol. She noted that the Scientific Assessment Panel had confirmed that improvements in the energy efficiency of refrigeration and air-conditioning equipment during the transition to low-GWP alternatives could double the climate benefits of the Kigali Amendment. She also drew attention to the problems caused by the dumping of obsolete and inefficient equipment in African markets, which undermined efforts by African countries to meet the climate challenge."

Link: https://ozone.unep.org/system/files/documents/MOP-30-11E.pdf

B. Report of the 10th Meeting of the Parties (MOP-10) to the Montreal Protocol (23–24 November 1998)

Author: United Nations Environment Programme (UNEP) Ozone Secretariat

Excerpts: "10. Among important issues facing the Parties, [Klaus Töpfer, Executive Director of the United Nations Environment Programme (UNEP)] drew attention to the need for awareness-building activities by the developing countries; the possible marketing of new ozone-depleting substances and the need for criteria to identify such substances; and the dumping of used chlorofluorocarbon (CFC) products and equipment, in which context he drew attention to the UNEP-initiated pledge by companies not to manufacture or sell any new CFC-using equipment or technology in developing countries or countries with economies in transition."

"60. Many representatives expressed concern at the dumping of second-hand equipment in developing countries based on the use of controlled substances, which constituted an extra impediment to the implementation of the Montreal Protocol. One representative proposed that the Secretariat should support developing countries in their efforts to ban such equipment. Others pointed to the enactment of national legislation prohibiting the import of products or equipment using ozone-depleting substances. Several representatives expressed support for the draft decision before the Meeting on the issue. One representative said that, as many of those transactions did not involve the remittance of funds through banks, monitoring the import of such



items was difficult. Mitigating that problem would require the introduction of controls at the point of export and he solicited the cooperation of developed countries to that end. Another representative suggested the introduction of a requirement for conversion of such equipment before it was exported."

Link: https://ozone.unep.org/system/files/documents/10mop-9e.shtml

C. Report of the Eleventh Meeting of the OEWG of the Parties to the Montreal Protocol (8–12 May 1995)

Author: UNEP Ozone Secretariat

Excerpt: "[One representative] said that the practice of dumping obsolete ODS-using products and technologies in Article 5 countries was on the increase and, if the situation were not addressed, it could well affect the implementation of the Protocol. Dumping could significantly increase ODS consumption in the countries in question and there were some grounds for suspecting that some suppliers in Article 2 countries were deliberately engaging in dumping in order to maintain their ODS production. Since the Article 5 countries were institutionally incapable of monitoring dumping effectively, they needed the support of their Article 2 partners."

Link: https://ozone.unep.org/sites/default/files/2019-04/OEWG-11-10E.pdf

D. Report of the Fifth Meeting of the Parties (MOP-5) to the Montreal Protocol (17–19 November 1993)

Author: UNEP Ozone Secretariat

Excerpt: "[Replacement with] [h]ydrochlorofluorocarbons [HCFCs] was not taking place at a rapid pace, thus leading to prolonged CFC emissions. Moreover, the phase-out process in the developed countries must not result in the dumping of outmoded equipment in the developing countries and competitive solutions to the problem of replacement substances were needed."

Link: https://ozone.unep.org/system/files/documents/5mop-12e.shtml

E. Report of the Ninth Meeting of the OEWG of the Parties to the Montreal Protocol (30 August–1 September 1993)

Author: UNEP Ozone Secretariat

Excerpt: "The closing of CFC production facilities in developed and developing countries could reduce availability in the developing countries. Phase-outs could lead to 'dumping' in developing countries of obsolete equipment that use ODS. As a result, incremental costs could increase and ozone-depleting substance (ODS) phase-out among Parties operating under Article 5, paragraph 1, could be delayed."

Preventing the Dumping in Vulnerable Developing-Country Markets of Inefficient Cooling Equipment Using Obsolete Refrigerants



"A number of delegations expressed concern over the possibility and impact of dumping. One delegation enquired if the Parties needed to address the issue formally. Another delegation requested that the Executive Committee of the Multilateral Fund examine projects to ensure that they did not inadvertently lead to dumping. One delegation noted that it would be beneficial for the work of the Technology and Economic Assessment Panel to include an update on the assessment of the supply of CFCs to Article 5, paragraph 1 countries after 1995."

Link: https://ozone.unep.org/system/files/documents/9oewg-7.e.shtml



5. International organization and country-led initiatives

The following announcements, agreements, and activities demonstrate bilateral, regional, and multilateral recognition of the problem of environmental dumping and the need for global availability of affordable, best-technology cooling equipment.

A. East African Community (EAC) Gazette: Notice of Declaration of East African Standards (2 July 2025)

Author: EAC

Short description: In this issue of the Gazette, the EAC announced updates to standards that are defined by the East African Community Standardization, Quality Assurance, Metrology, and Testing Act of 2006, including the creation of two new standards for cooling equipment minimum energy performance standards (MEPS) (direct quotes):

EAS 1213: 2025, Air conditioning appliances for household and similar use—Minimum Energy Performance-Requirements (1st edition)

This East African Standard specifies the minimum energy performance and energy labelling requirements for electrical non-ducted single-split, self-contained air-cooled air conditioners, air-to-air reversible heat pumps, and portable air conditioners, with a rated cooling output of up to 16 kW, placed on the market for any application.

This standard does not apply to:

- water-cooled air conditioners
- water-source heat pumps
- multi-split air conditioners
- multi-split air-to-air heat pumps
- variable refrigerant flow systems, and
- ducted equipment as well as non-electric energy sources

EAS 1214: 2025, Refrigerating appliances for household and similar use—Minimum Energy Performance-Requirements (1st edition)

This East African Standard specifies the Minimum Energy Performance and energy labelling requirements for a.c. powered single-phase (220-240V, 50Hz) and three-phase (380-415V, 50Hz) vapor compression refrigerating appliances (that is, refrigerators, refrigerator-freezers and freezers) of rated volume from 10 L to 1 500 L for household and similar use.

This standard does not apply to:

- (a) wine storage appliances,
- (b) mobile refrigerating appliances,



- (c) appliances where the primary function is not the storage of foodstuffs through refrigeration, and
- (d) Refrigerating appliances powered by other sources other than electricity Other refrigerating appliances different from the vapour compression type.

Link: https://archive.gazettes.africa/archive/aa-eac/2025/aa-eac-gazette-dated-2025-07-02-no-21.pdf (see also https://united4efficiency.org/east-african-community-approves-regionally-harmonised-meps-for-room-air-conditioners-and-residential-refrigerators/)

B. Achieving Zero-Carbon Buildings: Electric, Efficient, and Flexible (February 2025)

Author: Energy Transitions Commission (ETC)

Short description: This report discusses the challenge of decarbonization of buildings, methods to decarbonize the energy for operating buildings, reducing embodied carbon from new buildings, and actions by government, industry, and financial institutions. While not focusing solely on cooling equipment, the report notes on pages 92–93 the importance of MEPS and increasing the market for efficient appliances using energy performance labeling, rebates and subsidies to encourage the purchase of higher-efficiency equipment, and bulk purchasing of superior equipment to lower prices for consumers (buyer's clubs). It also references the concept of shared responsibility of importing and exporting countries via "[i]nternational collaboration to stop dumping of poor quality and inefficient products in lower-income countries, including regional harmonisation of standards and voluntary commitments from the private sector" (p. 94)

Link: https://www.energy-transitions.org/wp-content/uploads/2025/01/ETC_Buildings-Decarbonisation-Report DIGITALFINAL.pdf

C. 29th Session of the Conference of the Parties (COP29) to the United Nations Framework Convention on Climate Change (UNFCCC), Ministerial Roundtable: Delivering on the Global Cooling Pledge (18 November 2024)

Author: IISD Earth Negotiations Bulletin

Short description: Online. This roundtable discussion was designed to enhance further action on the Global Cooling Pledge (GCP), a collective effort launched during COP28 to reduce cooling-related emissions by 68% by 2050. After the United Kingdom (UK) Special Representative for Climate announced funding for the Pledge, representatives from 18 Member States discussed regulatory frameworks, energy efficiency, Nationally Determined Contributions (NDCs), green buildings, and the need to stop the dumping of refrigerants and obsolete cooling technologies.

[Inger] Andersen, [Executive Director, UNEP], thanked national and subnational commitments to the GCP, expressed gratitude for countries that have ratified the Kigali Amendment while



urging more countries to ratify, and reiterated the call to halt dumping of refrigerants and cooling technologies.

Link: https://enb.iisd.org/cop-29-ministerial-roundtable-delivering-global-cooling-pledge

D. Energy Efficiency 2024 (7 November 2024)

Author: International Energy Agency (IEA)

Short description: This report is the 2024 edition of IEA's analysis on energy efficiency, energy intensity and demand, and prices and policies. Pages 121–127 of the report highlight the dumping of inefficient equipment in developing countries and explain the following concepts related to dumping:

- Differing regulatory frameworks open the door to inefficient equipment dumping
- Outdated used equipment is flooding markets in lower income countries, leading to a dominance of inefficient appliances
- Appliances not in line with standards in producer countries are exported to regions with weaker regulations
- Good policies and international co-operation are needed to prevent the practice of inefficient equipment dumping

On page 122, the report notes that "[t]he impact of dumping appliances goes far beyond environmental concerns. The embedded inefficiency of these products leads to higher energy bills for consumers and drives up energy demand, straining power systems and requiring new investment in generation capacity. As a result, critical resources are diverted from vital sectors such as health and education, hampering socio-economic development in low-income countries." The report also discusses the problems created by older technology refrigerants that are ozone-depleting and are greenhouse gases (GHGs) and the importance of regionally harmonized minimum energy performance standards (MEPS).

Link: https://iea.blob.core.windows.net/assets/f304f2ba-e9a2-4e6d-b529-fb67cd13f646/EnergyEfficiency2024.pdf

E. UNEP and IFC, Cooler Finance: Mobilizing Investment for the Developing World's Sustainable Cooling Needs (25 September 2024)

Authors: UNEP, International Finance Corporation (IFC)

Short description: 108 pages. Released in 2024, this study from IFC and UNEP finds that the market for sustainable cooling in developing economies is set to more than double over the next 25 years from a current annual demand of approximately US\$ 300 billion. Adopting sustainable cooling instead of cheap but inefficient equipment could reduce electricity bills for consumers in developing countries by as much as US\$ 5.6 trillion over the next 25 years and reduce by \$1.8 trillion the amount of new investment in electricity generation needed meet peak demand.



The study references the 2023 report from CLASP and IGSD, which finds that energy-efficiency policies in Southeast Asia are not keeping pace with technological improvements in high-efficiency appliances or with policies in neighboring countries. As a result, Southeast Asia is at risk of becoming a dumping ground for outdated and inefficient appliances that are prohibited in the home jurisdictions of the multinational corporations that make them. By implementing MEPS, Southeast Asia could reduce cumulative emissions from 2025 to 2050 by 20 percent and generate cumulative savings of US\$ 148 billion in electricity costs. In Africa, another region that risks becoming a dumping ground for inefficient equipment, implementing energy-efficiency standards could reduce cumulative emissions by 14 percent.

The study notes that the presence of regulations alone is often insufficient to ensure compliance, as informal cross-border trade and second-hand markets can undermine the enforcement of efficiency standards. These issues underscore the need for regional and international measures to fully realize the benefits of high-efficiency equipment.

Link: https://www.ifc.org/content/dam/ifc/doc/2024/cooler-finance-report.pdf

F. Wilmington Declaration Joint Statement from the Leaders of Australia, India, Japan, and the United States (21 September 2024)

Author: United States (U.S.) White House

Short description: Online. At the conclusion of the Quad Leaders Summit on 21 September 2024, Australian Prime Minister Anthony Albanese, Indian Prime Minister Narendra Modi, Japanese Prime Minister Kishida Fumio, and U.S. President Joe Biden released a Joint Statement that includes, among other climate and clean energy provisions, "a focused Quad effort to boost energy efficiency, including the deployment and manufacturing of high-efficiency affordable, cooling systems to enable climate-vulnerable communities to adapt to rising temperatures while simultaneously reducing strain on the electricity grid."

Link: https://www.mofa.go.jp/files/100728100.pdf

G. UN Secretary-General's Call to Action on Extreme Heat (25 July 2024)

Authors: UN Secretary-General's Climate Action Team, including inputs from the UNEP, World Health Organisation (WHO), Food and Agriculture Organisation (FAO), International Labour Organisation (ILO), World Meteorological Organisation (WMO), UN Human Settlements Programme (UN-Habitat), UN Office for the Coordination of Humanitarian Affairs (OCHA), UN Office for Disaster Risk Reduction (UNDRR), UN Educational, Scientific and Cultural Organisation (UNESCO), and UN Children's Fund (UNICEF).

Short description: This document stresses the need for urgent action change to limit the impacts of extreme heat and specifically mentions that "[r]egulation that promotes low to zero global-warming potential alternatives in air conditioning and refrigeration equipment is critical, and so



are efforts to avoid the dumping of new inefficient cooling appliances with obsolete refrigerants in developing countries." Sections include:

- Climate science and extreme heat
- Weather-related deaths and at-risk populations
- Impacts on lives, livelihoods, and environment
- A call to action on extreme heat
- Strengthening global action

Link: https://www.un.org/sites/un2.un.org/files/unsg call to action on extreme heat for release.pdf

H. Harmonized Regional MEPS for Air Conditioners and Residential Refrigeration Appliances Approved for the SADC Region (20 February 2024)

Author: United for Efficiency (U4E)

Short description: Online. This news report reviews the development and approval of MEPS for cooling products sold in the 16 Southern African Development Community (SADC) member states. U4E, the SADC Centre for Renewable Energy and Energy Efficiency (SACREEE), SADC Cooperation in Standardization (SADCSTAN), and Lawrence Berkeley National Laboratory (LNBL) partnered to define the standard, which will save African consumers approximately US\$ 840 million per year on their electric bills and reduce CO₂ emissions by 6.5 million tons by 2040. Note that having MEPS in place is a critical tool in Parties' fight to prevent environmental dumping.

Link: https://united4efficiency.org/harmonized-regional-meps-for-cooling-products-approved-for-sadc-region/

 Regulation (EU) 2024/573 of the European Parliament and of the Council of 7 February 2024 on fluorinated greenhouse gases, amending Directive (EU) 2019/1937 and repealing Regulation (EU) No 517/2014 (EU F-gas Regulation) (7 February 2024)

Author: European Union

Short description: Online. The Preamble to the EU F-Gas Regulation in paragraph 25 reflects how developing countries' efforts to stop the dumping in their countries of inefficient cooling equipment using high global-warming-potential refrigerants (as recognized in Montreal Protocol Decision XXXV/13) served as motivation to prohibit export in the Regulation of certain used and new equipment containing or relying on climate-harmful fluorinated greenhouse gases.

Link: https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ:L 202400573



J. U.S.-China Sunnylands Statement on Enhancing Cooperation to Address the Climate Crisis (14 November 2023)

Authors: John Kerry, the U.S. Special Presidential Envoy for Climate, and Xie Zhenhua, China's Special Envoy for Climate Change

Short description: Online. Released on 14 November 2023, this Statement by U.S. Special Presidential Envoy for Climate John Kerry and China Special Envoy for Climate Change Xie Zhenhua reaffirms previous climate-related commitments and notes in paragraph 13 that "[t]he two countries intend to work together under the Kigali Amendment to phase down HFCs and commit to ensure application of ambitious minimum efficiency standards for all cooling equipment manufactured."

Link: https://www.mee.gov.cn/xxgk/hjyw/202311/t20231115_1056452.shtml (bilingual document, scroll down for English version; see also https://www.iea.org/policies/18216-sunnylands-statement-on-enhancing-cooperation-to-address-the-climate-crisis)

K. Report of the Climate & Clean Air Coalition (CCAC) Stop Dumping Workshop, Paris: More Rapid Transition to Sustainable Cooling Technology (24–25 August 2023)

Author: CCAC

Short description: 16 pages. Participants from 14 African nations (Benin, Burkina Faso, Djibouti, Ghana, Kenya, Lesotho, Mali, Morocco, Nigeria, Senegal, Togo, Tunisia, Uganda, Zimbabwe), CCAC, CLASP, IGSD, UNEP OzonAction, and U4E collaborated on the following items from 24–25 August 2023:

- Creating definitions of environmental dumping and obsolete refrigerants
- Explaining the reasons for developed countries to stop dumping in African marketplaces
- Presenting statistics on the local and global effects of inefficient cooling equipment dumped in Africa
- Defining the necessary next steps for African nations, corporations, and government leadership

Link: https://www.ccacoalition.org/sites/default/files/
resources/files/DUMPING%20ON%20AFRICA%20FINAL%20Bookupdatw%202410.pdf

L. Regional Harmonization of Energy-Efficient and Climate-Friendly Cooling in East and Southern Africa Fact Sheet (24 September 2021)

Author: U4E



Short description: 2 pages. This Fact Sheet describes the geographical scope, expected key achievements and challenges, and rationale for the development of MEPS in the 21 countries of East and Southern Africa. The project, which concluded in 2022, was designed to create leverage for Eastern African Community (EAC) and SADC countries to accelerate the transition to climate-friendly (low refrigerant GWP) and energy-efficient through harmonized MEPS (see item E above).

Link: https://united4efficiency.org/wp-content/uploads/2021/09/U4E_FACT-SHEETS_EAC-SADC_2021-09-24.pdf

M. African Ministerial Conference on the Environment (AMCEN) 17th Session, Decision 17/1 (November 2019)

Author: African Ministerial Conference on the Environment Decision (AMCEN)

Short description: At its Seventeenth session in Durban, South Africa on 14–15 November 2019, AMCEN decides "[t]o urge Parties to the Montreal Protocol on Substances that Deplete the Ozone Layer to adopt an action plan preventing the market penetration of obsolete equipment in Africa while facilitating access to secure and energy-efficient technologies on the continent."

Link: https://www.unep.org/events/conference/seventeenth-regular-session-african-ministerial-conference-environment-amcen

N. Biarritz Pledge for Fast Action on Efficient Cooling (22 August 2019)

Author: Launched by France (presented at the Group of Seven (G7) Summit as a non-G7 initiative, see the <u>CCAC website</u>)

Short description: One page. In this pledge, France and other signatories agreed to "undertake immediate actions to improve efficiency in the cooling sector while phasing down hydrofluorocarbon (HFC) refrigerants as per the Kigali Amendment to the Montreal Protocol." Among others, these actions include:

- Using MEPS and appliance labelling
- Using bulk purchasing to bolster improvements in energy efficiency
- Helping to bring to market energy-efficient and affordable cooling technologies that use low- or zero-GWP refrigerants

Link: https://www.elysee.fr/admin/upload/default/0001/06/306cf93611abfad315fbc8ebce8e86dc27282363.pdf

O. AMCEN Report of the Ministerial Segment: Libreville Declaration on Investing in Innovative Environmental Solutions (29 June 2017)

Author: AMCEN



Short description: 32 pages. This declaration, contained within the AMCEN Report of the Ministerial Segment held on 15–16 June in Libreville, Gabon, affirms that the Ministers of the Environment have resolved to "[t]o adopt policies and mechanisms that favour affordable, safe, effective and efficient cooling technologies that will maximize the climate benefits of the Kigali Amendment by catalysing the energy efficiency of refrigerants and appliances" (p. 9, para. 40); and have decided "[t]o adopt policies and mechanisms opting for affordable, safe, effective and efficient cooling technologies that will maximize the climate benefits of the Kigali Amendment to the Montreal Protocol by catalysing energy efficiency of the refrigerants and appliances" (p. 17, para. 7).

Link: https://wedocs.unep.org/bitstream/handle/20.500.11822/25881/amcenmin rep2017.pdf

P. Report of the Governing Council on the work of its fifth session (9–25 May 1977)

Author: United Nations Environment Programme, General Assembly

Short description: 152 pages. Annex I of the report contains the text of Decisions adopted by the Governing Council. Decision 85 (V). Human and environmental health contains language that foreshadows the recognition of the problem of environmental dumping and of shared responsibilities of importing and exporting Parties:

"Aware that there have been unethical practices concerning the distribution of chemicals, drugs, cosmetics and food unfit for human consumption,

<u>Conscious</u> that there exists an urgent need for all countries to develop measures to protect themselves.

<u>Further aware</u> that there is need for harmonious co-operation between manufacturers and exporters of chemicals, foods, drugs and cosmetics, as well as between exporting and importing countries[.]"

"2. [The Governing Council] [u]rges Governments to take steps to ensure that potentially harmful chemicals, in whatever form or commodity, which are unacceptable for domestic purposes in the exporting country, are not permitted to be exported without the knowledge and consent of appropriate authorities in the importing country" (p. 116).

Link: https://documents.un.org/doc/undoc/gen/n77/164/44/pdf/n7716444.pdf



6. Technical reports, workshop proceedings, research papers, and other documents

The following technical reports, workshop proceedings, research papers, and other documents provide comprehensive information on dumping of inefficient appliances in vulnerable countries and how to maximize the benefits of next-generation cooling equipment. These resources include overviews and more in-depth information that discusses:

- The history of environmental dumping in developing nations' marketplaces
- The environmental, public health, and equity problems caused by dumping
- Policy and legal tools to end dumping
- The use of buyers clubs* to provide access to best technology cooling equipment
- Case studies showing successes and challenges
- Best practices for installing room air conditioners (RACs)

A. Pathways to Stop Dumping of Climate-Harming Room Air Conditioners in Latin America and the Caribbean (in progress)

Authors: CLASP and IGSD, with CCAC

Short description: The dumping of inefficient new room air conditioners (RACs) is widespread in developing countries. Many of these appliances use ozone-depleting and high global-warming potential (GWP) refrigerants targeted for phasedown under the Montreal Protocol. The Latin America and Caribbean (LAC) region has the third-largest RAC market with over nine million units sold in 2023. However, this region lacks policies to stop environmental dumping of cooling appliances. Tailoring solutions to prevent environmental dumping requires filling knowledge gaps concerning the region's RAC market, especially efficiency levels and refrigerants in use. Accordingly, CLASP and IGSD are undertaking a project, with CCAC support, to analyze data on the energy efficiency of RACs and the refrigerants used in RACs for sale in the LAC region. The project goals include developing a report that:

- Summarizes evidence of environmental dumping in 11 LAC markets
- Presents strategies for stakeholders to increase market access to next-generation RACs
- Recommends actions to stop the dumping of inefficient cooling equipment with obsolete refrigerants

This project builds on similar investigation work and reporting that CLASP and IGSD undertook for Southeast Asia and Africa.

Link: https://www.ccacoalition.org/projects/pathways-stop-dumping-climate-harming-room-air-conditioners-latin-america-and-caribbean

^{*} The term "buyers club" reflects spelling and punctuation used in previous publications.



B. Handbook of Best Practices: Room Air Conditioner Installation for the Highest Energy Efficiency and Lowest Carbon Footprint (February 2025)

Authors: Dr. Yunho Hwang, University of Maryland Center for Environmental Energy Engineering (CEEE), and Dr. Stephen O. Andersen, IGSD

Short description: Even if next-generation room air conditioners (RACs) are installed, they must be installed correctly to ensure that the users can benefit from the technology's high efficiency and low carbon footprint. This open-source handbook explains how to appropriately install such RACs to provide the highest energy efficiency and lowest carbon footprint over the equipment's lifetime. As such next-generation cooling technology is made available in developing nations, distributors and technicians must understand and implement best practices for installation and servicing. The handbook was released jointly under a Creative Commons license by CEEE, CCAC, Centro Studi Galileo, and IGSD. The authors welcome edits and additions from knowledgeable contributors.

Link: https://www.igsd.org/wp-content/uploads/2025/02/Handbook-of-Room-AC-Installation.pdf

C. Key Milestone Achieved in the Development of Minimum Energy Performance Standards (11 April 2024)

Author: East African Centre of Excellence for Renewable Energy and Efficiency (EACREEE)

Short description: Online. This brief announcement discusses the outcome of a three-day workshop in Uganda to develop regional MEPS, with similar workshops held in Burundi, Kenya, Rwanda, South Sudan, and Tanzania. This achievement is part of a continuing effort to prevent dumping in African nations of inefficient products.

Link: https://www.eacreee.org/article/key-milestone-achieved-development-minimum-energy-performance-standards

D. Pathways to Prevent Dumping of Climate Harming Room Air Conditioners in Southeast Asia (September 2023)

Authors: Lina Kelpsaite, Jillian Webber, Katriana Dubytz, and Shirin Mavandad (CLASP); with contributions from Ana Maria Carreño, Colin Taylor, Hu Bo, Lei (Steven) Zeng, Herlin Herlianika, Kynan Tjandaputra, Lauren Boucher, Ari Reeves, and Hannah Blair (CLASP); and Tad Ferris, Dr. Gabrielle Dreyfus, Xiaopu Sun, Dr. Stephen O. Andersen, and Kristen Taddonio (IGSD)

Short description: 95 pages. Created by CLASP and IGSD with input from stakeholders in Southeast Asia, this report examines how Southeast Asia is vulnerable to becoming a dumping ground for obsolete cooling appliances. Topics covered in the report include:

Global RAC market policy trends



- Southeast Asia RAC marketplaces, trade flows, and policies
- Low-efficiency cooling equipment in Southeast Asia and the impact of its use
- Recommendations for policymakers and other stakeholders

Link: https://ozone.unep.org/system/files/documents/Pathways-to-Prevent-Dumping-of-Climate-Harming-ACs-in-SEA-Sep-2023.pdf

E. Speeding Ghana's Transition to Low-GWP and Energy-Efficient Cooling: International Special Issue (27 September 2022)

Authors: Kofi A. Agyarko (Ghana Energy Commission Director of Renewable Energy and Energy Efficiency), Emmanuel Osae-Quansah (Ghana Environmental Protection Agency Director of Climate Change and the National Ozone Unit), Hubert Zan (Ghana Energy Commission Assistant Manager of Energy Efficiency Inspection and Enforcement), Richard "Tad" Ferris (IGSD Senior Counsel), Mohamed Rida Derder (IGSD Special Counsel for the Middle and North Africa (MENA) region and Africa), and Leslie Olonyi Bosire (environmental lawyer and independent legal consultant)

Short description: Online. Published in *Industria e Formazione* (the official journal of Centro Studi Galileo and the Renewable Energy Institute) as an International Special Issue, this report describes how the Ghana Energy Commission is working to end dumping of inefficient cooling equipment through several efforts, including:

- Developing improved energy efficiency labelling and refrigerant metrics
- Strengthening MEPS
- Facilitating communications with national authorities to prohibit the import and sale of new but inefficient equipment that uses obsolete refrigerants
- Requesting Parties to the Montreal Protocol to enact and enforce regulations that stop product dumping in developing countries

Link: https://international.centrogalileo.it/speeding-ghanas-transition-to-low-gwp-and-energy-efficient-cooling

F. Policy Measures to Prevent Dumping of Environmentally Harmful and Low Efficiency Cooling Appliances in African Countries: Kenya as a Case Study (19 May 2022)

Authors: Naomi Wagura and Ana María Carreño

Short description: 13 pages. Abstract available online. Conference paper was originally presented at the 10th International Conference on Energy Efficiency in Domestic Appliances and Lighting held in Jinan, China from 6–8 November 2019 and is in the book series *Springer Proceedings in Energy*. This paper demonstrates that low-efficiency air conditioners dumped in African marketplaces are manufactured by companies that offer high-efficiency units in other



countries and explains how some African nations are developing policies to prevent dumping. Topics include:

- Data collection methods to describe market characteristics
- Comparison of MEPS rating of cooling equipment sold in Kenya with the MEP of equipment available in the source market
- Using regulation to avoid dumping of new inefficient cooling equipment and certification to prevent dumping of used cooling equipment
- Overview of refrigerants found in RACs in the Kenyan marketplace
- Current regulations and policy recommendations

Link: https://link.springer.com/chapter/10.1007/978-3-030-79124-7 24

G. Intergovernmental Panel on Climate Change (IPCC) Sixth Assessment Report: Working Group III: Mitigation of Climate Change (4 April 2022)

Authors: Luisa F. Cabeza (Spain), Quan Bai (China), Paolo Bertoldi (Italy), Jacob M. Kihila (the United Republic of Tanzania), André F.P. Lucena (Brazil), Érika Mata (Spain/Sweden), Sebastian Mirasgedis (Greece), Aleksandra Novikova (Germany/the Russian Federation), Yamina Saheb (France/Algeria)

Short description: In chapter 9, section 9.9.7.1 of this report published in 2022, the IPCC states that "[e]fficiency requirements for traded goods and the associated test methods could be set at global level in order to enlarge the market, avoid technical barriers to trade; reduce the manufacturers design and compliance costs. International standards could be applied to developing countries when specific enabling conditions exist, particularly in regard to technology transfer, assistance for capacity buildings and financial support. This would also reduce the dumping of inefficient equipment in countries with no or lower efficiency requirements. An example is the dumping of new or used inefficient cooling equipment in developing countries, undermining national and local efforts to manage energy, environment, health, and climate goals."

Link: https://www.ipcc.ch/report/ar6/wg3/chapter/chapter-9/

H. The Importance of Stopping Environmental Dumping in Ghana: The Case of Inefficient New and Used Cooling Appliances with Obsolete Refrigerants (November 2021)

Authors: Kofi A. Agyarko, Dr. Stephen O. Andersen, Richard "Tad" Ferris, Hubert Zan, Emmanuel Osae-Quansah, Dr. Gabrielle Dreyfus, Mohamed Rida Derder, Leslie Olonyi Bosire, Laura Bloomer, and Xiaopu Sun

Short description: 56 pages. Published in 2021 in the *Duke Environmental Law and Policy Forum*, this report documents how environmental dumping continues to occur in Ghana, despite



a ban on importing used cooling equipment and the establishment of energy efficiency standards. The report covers topics including:

- The definition of environmental dumping applied to air conditioners and refrigerators
- Drivers of environmental dumping in Ghana
- Discussions of case studies of dumping new and used equipment in Ghana
- Interventions and examples of authorities that have the power to intervene

Link: https://scholarship.law.duke.edu/cgi/viewcontent.cgi? article=1391&context=delpf

I. Environmentally Harmful Dumping of Inefficient and Obsolete Air Conditioners in Africa (24 June 2020)

Authors: CLASP and IGSD

Short description: 85 pages. This 2022 report explores the market conditions in Africa where global suppliers dump inefficient equipment using obsolete refrigerants. Research and statistics include:

- Market evidence of environmental dumping of inefficient cooling equipment
- Market evidence of environmental dumping of cooling equipment that uses high GWP refrigerants
- Identification of the sources of obsolete air conditioners and components
- Statistics showing the impact on governments and consumers of environmental dumping
- Tools for policymakers and governments

Link: https://storage.googleapis.com/clasp-siteattachments/2020-Environmentally-Harmful-Dumping-of-Inefficient-and-Obsolete-Air-Conditioners-in-Africa.pdf

J. Assessment of Climate and Development Benefits of Efficient and Climate-Friendly Cooling (March 2020)

Authors: Dr. Gabrielle Dreyfus (IGSD), Nathan Borgford-Parnell (UNEP, CCAC), John Christensen (UNEP Danish Technical University Partnership), David W. Fahey (NOAA Earth System Research Laboratory, Chemical Sciences Division), Brian Motherway (IEA), Toby Peters (University of Birmingham), Romina Picolotti (CEDHA, currently IGSD), Nihar K. Shah (LBNL), and Yangyang Xu (Texas A&M University)*

* CEDHA = Center for Human Rights and Environment; IEA = International Energy Agency; LBNL = Lawrence Berkeley National Laboratory; NOAA = U.S. National Oceanic and Atmospheric Administration

Short description: 76 pages. This report by IGSD and Centro Mario Molina examines the increasing need for cooling equipment due to global warming, the need for adaptation, and ways to maximize ability of the Montreal Protocol and Kigali Amendment to protecting the climate.



The report provided the basis for the Cooling Emissions and Policy Synthesis Report by the UNEP and IEA. Topics covered include:

- HFC emissions, current uses, and future scenarios
- Energy-related emissions from cooling equipment and opportunities for mitigation
- Recommendations for policies and financing strategies to promote fast phasedown from HFC refrigerants and improving the energy efficiency of cooling equipment

Link: https://www.ccacoalition.org/sites/default/files/resources/2020_Assessment-benefits-efficient-cooling 3 30 20.pdf

K. Buyers Club Handbook Update (January 2020)

Authors: Dr. Stephen O. Andersen (IGSD), Dr. Suely Carvalho (UNEP TEAP), Dr. Ezra Clark (formerly OzonAction, currently UNESCO), James Curlin (UNEP OzonAction), Dr. Gabrielle Dreyfus (IGSD), Richard "Tad" Ferris (IGSD), Saurabh Kumar (formerly EESL, currently GEAPP), Manu Maudgal (formerly ESSL, now Shakti Sustainable Energy Foundation), Marco Gonzalez (Montreal Protocol Ozone Secretariat, retired), Alex Hillbrand (NRDC), Karan Mangotra (formerly TERI, now ISA), Dr. Ajay Mathur (formerly TERI, currently ASI), Shamila Nair-Bedouelle (formerly UNEP OzonAction, currently UNESCO), Rajendra Shende (TERRE Policy Centre), and Dr. Nancy J. Sherman (IGSD)*

* ESSL = Energy Efficiency Services Limited; GEAPP = Global Energy Alliance for People and Planet; ISA = International Solar Alliance; NRDC = National Resources Defense Council; TERI = The Energy and Resources Institute; TERRE = Technology, Education, Research and Rehabilitation for the Environment; UNESCO = United Nations Educational, Scientific and Cultural Organization

Short description: 43 pages. Published jointly by IGSD and UNEP OzonAction Compliance Assistance Programme, this handbook provides guidance and best practices for policymakers who wish to start a buyers club* for best technology cooling equipment in countries where the marketplace is dominated by dumped inefficient products. Handbook topics include:

- Air conditioning's impact on ozone depletion and climate change
- Refrigerant choices under the Montreal Protocol
- The importance of super-efficiency with lower-GWP refrigerants
- Opportunities to introduce affordable and efficient RACs
- Traditional regulatory and supporting approaches, including MEPS, product labelling, and fiscal incentives
- Planning and starting a buyers club
- Lessons learned

Link: https://www.igsd.org/wp-content/uploads/2020/07/Buyers-Club-Handbook-Jan2020.pdf

^{*} Reflects spelling and punctuation used in previous publications.



L. Defining the Legal and Policy Framework to Stop the Dumping of Environmentally Harmful Products (November 2018)

Authors: Dr. Stephen O. Andersen, Richard "Tad" Ferris, Romina Picolotti, Durwood Zaelke, Dr. Suely Carvalho, and Marco Gonzalez

Short description: 48 pages. Published in Volume 29 (Fall 2018) of the *Duke Environmental Law and Policy Forum*, this article by IGSD environmental policy experts established the foundation for stopping dumping through law and policy. The report includes:

- The historical and policy origins of the "environmental dumping" concept, with definitions
- An anti-dumping "toolkit" for policymakers in developing countries, with detailed explanations of the legal and policy tools in the toolkit
- A summary of the national and global consequences of environmental dumping
- Recommendations for policymakers and other stakeholders aimed at protecting environmental stop-dumping tools from international trade challenges

Link: https://scholarship.law.duke.edu/cgi/viewcontent.cgi? article=1356&context=delpf

M. How to Read the Energy Label—Air Conditioners (April 2018)

Author: Pacific Appliance Labelling and Standards Programme

Short description: Two pages. This pamphlet explains to consumers how to read energy efficiency ratings and see how more efficient technology can be cheaper in the long run, despite a higher initial purchase price.

Link: https://prdrse4all.spc.int/sites/default/files/how_to_read_the_energy_label-air_conditioners.pdf



7. Media coverage and corporate statements on environmental dumping (examples)

This final section provides examples of media coverage of product dumping in Africa and Southeast Asia.

A. Staying cool, but with clean tech, global collaborations (9 November 2024)

Author: Zerin Osho

Short description: Online. In this opinion editorial in *The Hindu*, Zerin Osho discusses the problem of emissions related to cooling equipment, stating "Climate change has heightened two critical threats related to cooling: the indirect emissions from inefficient air-conditioning and refrigeration systems powered by fossil fuels, and the direct emissions from harmful refrigerants. However, many countries still lack integrated energy efficiency and refrigerant standards. Highefficiency air conditioners using climate-friendly refrigerants are available, but inefficient models using outdated refrigerants still dominate many markets. Without stronger regulations, developing nations risk becoming dumping grounds for these inefficient appliances, exacerbating climate and energy challenges."

Link: https://www.igsd.org/wp-content/uploads/2025/07/Staying-Cool-The-Hindu-Op-Ed.pdf

B. Zim acts on product dumping (27 September 2024)

Author: Tendui Gukutikwa

Short description: Online. Published in *The Manica Post*, the article describes the concerns of George Chaumba, Zimbabwe's Ozone Project Manager in the Ministry of Environment, Climate, and Wildlife, regarding the dumping of inefficient cooling equipment in the southern Africa. A direct quote from Mr. Chaumba highlights the issues:

"There is need to warn Zimbabweans on the dangers of benefiting from product dumping, as this practice undermines the goals of MEPS. Product dumping is particularly prevalent in the SADC [Southern African Development Community] region, where older, inefficient refrigerators and air conditioners are exported from regions like Europe, where stricter MEPS regulations are in place, rendering such products obsolete. The connection between dumping and MEPS implementation can be seen in several ways."

Link: https://www.pressreader.com/zimbabwe/the-manica-post/20240927/281595245941743

C. Cooling appliances uptake exposes Kenya to dumping risk (16 January 2024)

Author: Macharla Kamau

Short description: Online. This article published in *The Standard* discusses how the cooling equipment marketplace in Kenya is dominated by inefficient appliances and how Kenyan



consumers are forced to pay high electric bills because best technology air conditioners and refrigerators are unavailable for purchase.

Link: https://www.standardmedia.co.ke/business/financial-standard/article/2001488994/cooling-appliances-uptake-exposes-kenya-to-dumping-risk

D. A Climate for Innovation: A Conversation with Trane Technologies' Director of Global Climate Policy Helen Walter-Terrinoni (4 December 2023)

Author: Helen Walter-Terrinoni

Short description: Online. In this blog-post interview on the Trane Technologies website, Helen Walter-Terrinoni states, "We're also concerned about the question of equity. Our industry is not at the forefront of the issues that are generally raised in the equity conversation, but we want to help the ecosystem evolve where we are the experts. For example, we support policies that prevent countries from exporting equipment that has a larger carbon footprint than is allowed at home."

Link: https://www.tranetechnologies.com/en/index/blog/sustainability-leadership/a-climate-for-innovation.html

E. Inefficient air-cons are being dumped in Southeast Asia. That's costly for consumers and the climate (18 November 2023)

Author: Kiki Siregar

Short description: Online. This 2023 article published by Singapore-based Channel News Asia examines the problem of multinational corporations dumping inefficient air conditioners in countries including Indonesia, the Philippines, Malaysia, Thailand, and Vietnam.

Link: https://www.channelnewsasia.com/asia/inefficient-air-conditioners-dumping-southeast-asia-indonesia-climate-change-energy-3922116

F. Report Exposes Widespread Dumping of Inefficient, Climate-Damaging ACs with Obsolete Refrigerants (20 September 2023)

Author: CLASP

Short description: This press release announces the publication of the CLASP and IGSD analysis and report on environmentally harmful product dumping in Southeast Asia. From the press release:

Commenting on the research, Christine Egan, CEO of CLASP, said, "In the midst of a global climate emergency where high temperature records are broken annually, it is disappointing that the production and sale of affordable efficient ACs does not extend across all markets in Southeast Asia. This research shows the added financial savings and



emission reductions that can come from stopping the influx of obsolete, energyguzzling appliances that provide life-saving cooling to millions of people."

Tad Ferris, Senior Counsel at IGSD, cautioned, "This report warns of trade practices harmful to every living creature and ecosystem. The unchecked dumping of inefficient ACs using obsolete refrigerants is exacting an exorbitant toll in vulnerable Southeast Asian communities facing record-setting heat waves during the climate emergency. It is imperative to reverse this trajectory. This report underscores a suite of solutions that include collaborative commitments between multinational corporations and exporting and importing countries, to eliminate the high lifecycle cost of inefficient ACs with obsolete refrigerants."

Link: https://www.clasp.ngo/updates/press-release-report-exposes-widespread-dumping-of-inefficient-climate-damaging-air-conditioners-with-obsolete-refrigerants/

G. Dumping of environmentally-harmful products is 'imported poverty' (7 August 2023)

Author: Kofi Agyarko

Short description: One page. This article, reposted from the *Ghanian Times*, explains how environmental dumping of inefficient cooling equipment creates poverty through many effects including:

- Greater electricity costs
- Increased pollution that harms public health and affects the workforce
- Barriers to entry for modern cooling equipment
- The use of refrigerants that cause global warming and deplete the ozone layer

Link: https://www.igsd.org/wp-content/uploads/2023/08/Kofi-Ghanaian-Times-dumping-article-7-August-2023.pdf

H. Pan-African association U-3ARC warns that 'environmental dumping' stifles ability to adopt low-GWP and energy efficient technology (3 October 2022)

Author: Andrew Gaved

Short description: Online. Published in *RAC* magazine, this article describes how the Pan-African cooling body U-3ARC has issued what it calls the Casablanca Declaration, calling for an end to the practice of exporting equipment that doesn't meet F-Gas, Kigali, or Ecodesign regulations to countries that haven't yet adopted similar requirements. According to the U-3ARC website, the U-3ARC association represents 30 national organizations in the refrigeration and air conditioning sector from 29 countries representing 18,0000 companies that employ 200,000 people.



Links:

(U-3ARC website) https://www.u-3arc.org

(RAC magazine article) https://www.racplus.com/news/stop-dumping-old-kit-in-our-countries-says-african-cooling-body-03-10-2022/

I. A Flood of Polluting Air Conditioners Hampers Africa's Climate Efforts (9 September 2020)

Author: Peyton Fleming

Short description: Online. Published in Yale Environment 360, this article describes how old and inefficient air conditioners dumped in Africa are generating increased electricity use and greenhouse gas emissions. The article notes that progress is occurring in Ghana and Rwanda and describes the challenges in Nigeria caused by lack of enforcement of its import ban and by cooling equipment manufacturers poor compliance with voluntary energy performance standards and energy labels.

Link: https://e360.yale.edu/features/a-flood-of-polluting-air-conditioners-hampers-africas-climate-efforts



8. Examples of related efforts to stop environmental dumping in other sectors

The <u>2018 Duke Environmental Law and Policy Journal</u> paper established the foundation for stopping environmental dumping through law and policy and provided a toolkit for policymakers. Successful actions and publicity campaigns by multiple parties to stop the dumping of harmful and outdated cooling equipment in developing countries have initiated, influenced, and encouraged similar efforts in other sectors to help transition markets away from technologies that burden the health and environment of communities in vulnerable developing countries.

A. AMCEN 20th Session, Decision 7: Africa's participation at the sixth meeting of the Conference of Parties to the Minamata Convention on Mercury (31 July 2025)

Author: AMCEN

Short description: This AMCEN Decision, reached at its 20th Session in Nairobi, Kenya, regarding the Minamata Convention on Mercury,² reflects African nations' alarm at the continued production and export to Africa of products that contain mercury. It specifically mentions "the practice of using exemptions to continue production for export into countries with weaker regulatory frameworks" and conveys AMCEN's concern "that Africa remains a net importer of mercury-added products such as fluorescent lighting, dental amalgam, and mercury-added cosmetics, making the continent highly vulnerable to dumping, hazardous waste accumulation, and public health risks[.]"

Significantly, the Decision acknowledges its foundation in ongoing work to stop the environmentally harmful dumping of inefficient cooling equipment to developing countries, and the Decision also welcomes exporting countries "to prohibit the export of mercury-added products and inefficient energy consuming equipment that do not comply with national standards of importing countries, in line with Decision XXXV/13, adopted at the thirty fifth Meeting of the Parties to the Montreal Protocol on Substances that Deplete the Ozone Layer on the import and export of prohibited cooling equipment[.]" Decision XXXV/13 reflects the concept of "shared responsibility" of exporting and importing countries in addressing the problem environmentally harmful product dumping.

Link: https://wedocs.unep.org/handle/20.500.11822/48290

B. Africa to receive cleaner fuels as Benelux countries commit to higher standards (20 March 2025)

Author: UNEP

² <u>https://minamataconvention.org/en</u>

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Short description: Online. This Technical Highlight describes the commitment of Belgium, Luxembourg, and the Netherlands (known collectively as Benelux countries) to export clean fuels to the Global South. In 2016, Nigeria, Benin, Togo, Ghana, and Cote d'Ivoire agreed to ban dirty fuels from Europe containing untreated refinery products. These fuels are not permitted to be sold in Europe but have been dumped in the markets of African countries with lenient regulations. Belgium (in 2023) and the Netherlands (in 2024) banned the export of fuels that do not meet their domestic regulations. In 2025, Luxembourg joined the effort to craft cleaner fuel guidelines. The Benelux countries have discussed presenting this issue to the wider EU.

Link: https://www.unep.org/technical-highlight/africa-receive-cleaner-fuels-benelux-countries-commit-higher-standards

C. European Union, Japan and South Korea Export 'Heavy Duty' Vehicle Pollution to Low-Income Countries (22 February 2024)

Author: Chetan Bhattacharji

Short description: Online. This article on the *Health Policy Watch* website summarizes a <u>UNEP</u> report on the environmental dumping of used and highly polluting trucks and buses in developing countries. Mirroring the language of <u>Montreal Protocol MOP Decision XXXV/13</u> regarding "shared responsibility," the UNEP and CCAC explain that developed nations must verify that vehicles for export meet their national emission standards and that developing nations must enact tougher emissions standards.

Link: https://healthpolicy-watch.news/european-union-japan-and-south-korea-exporting-heavy-duty-vehicle-pollution-to-low-income-countries



9. Acronyms and abbreviations

AMCEN African Ministerial Conference on the Environment

Benelux Belgium, the Netherlands, and Luxembourg

CCAC Climate and Clean Air Coalition

CEDHA Center for Human Rights and Environment

CFC chlorofluorocarbon

CLASP originally Collaborative Labeling and Appliance Standards Program

CO₂ carbon dioxide

COP Conference of the Parties (to the UNFCCC)

EAC East African Community

EACREEE East African Centre of Excellence for Renewable Energy and Efficiency

ESSL Energy Efficiency Services Limited (India)

FAO Food and Agriculture Organisation

F-Gas fluorinated gas

GCP Global Cooling Pledge

GEAPP Global Energy Alliance for People and Planet

GWP global warming potential HCFC hydrochlorofluorocarbon

HFC hydrofluorocarbon

IEA International Energy Agency
IFS International Finance Corporation

IGSD Institute for Governance & Sustainable Development IISD International Institute for Sustainable Development

ILO International Labour Organisation (UN)
IPCC Intergovernmental Panel on Climate Change

ISA International Solar Alliance

LBNL Lawrence Berkeley National Laboratory (USA)

MENA Middle and North Africa Region

MEPS Minimum Energy Performance Standards

MLF Multilateral Fund (for the Impementation of the Montreal Protocol)

MOP Meeting of the Parties to the Montreal Protocol

NOAA National Oceanic and Atmospheric Administration (USA)

NRDC National Resources Defense Council

OCHA UN Office for the Coordination of Humanitarian Affairs

ODS ozone-depleting substance

OEWG Open-Ended Working Group (of the Montreal Protocol)

RAC room air conditioner

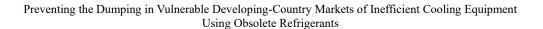
TERI The Energy and Resources Institute (India)

TERRE Technology, Education, Research and Rehabilitation for the Environment (India)

U4E United for Efficiency
UK United Kingdom
UN United Nations

UNFCCC UN Framework Convention on Climate Change

UN-Habitat UN Human Settlements Programme
UNDRR UN Office for Disaster Risk Reduction





UNEP UN Environment Programme

UNESCO UN Educational, Scientific and Cultural Organisation

UNICEF UN Children's Fund (UN International Children's Emergency Fund)

U.S. United States

WHO World Health Organisation

WMO World Meteorological Organisation